

## CONNECTED $[a, b]$ -FACTORS IN GRAPHS

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Received February 10, 1998

Revised July 31, 2000

In this paper, we prove the following result:

Let  $G$  be a connected graph of order  $n$ , and minimum degree  $\delta$ . Let  $a$  and  $b$  two integers such that  $2a \leq b$ . Suppose  $n \geq \frac{(a+b)(a+b-1)}{b}$  and  $\delta \geq \frac{n}{1 + \lfloor \frac{b}{a} \rfloor}$ .

Then  $G$  has a connected  $[a, b]$ -factor.

### I. Introduction

We consider simple graphs without loops. Let  $G$  be a graph of order  $n$ , with vertex set  $V(G)$  and edge set  $E(G)$ . We denote by  $d_G(x)$  the degree of any vertex  $x$  in  $G$ , by  $\delta(G)$  (resp.  $\Delta(G)$ ) the minimum (resp. maximum) degree of  $G$  and if  $G$  is not complete then  $\sigma_2(G) = \min\{d_G(u) + d_G(v) \mid u, v \in V(G), u, v \text{ nonadjacent}\}$ .

Let  $a \leq b$  be positive integers. Recall that  $\lfloor \frac{b}{a} \rfloor$  (resp.  $\lceil \frac{b}{a} \rceil$ ) is the greatest (resp. the smallest) integer such that  $\lfloor \frac{b}{a} \rfloor \leq \frac{b}{a}$  (resp.  $\frac{b}{a} \leq \lceil \frac{b}{a} \rceil$ ).

A spanning subgraph  $F$  of  $G$  is called an  $[a, b]$ -factor of  $G$  if  $a \leq d_F(x) \leq b$  holds for all  $x \in V(G)$ . If  $F$  is connected then the factor is said to be connected. If  $H$  is a  $[a-1, b]$  factor of  $G$ , we say that a vertex  $x$  is saturated if  $d_H(x) \geq a$ .

Many authors have worked on  $[a, b]$ -factors as it can be seen in the bibliography of [1]. When the connectedness is not required, there exists a sufficient

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*Mathematics Subject Classification (2000):* 05C70

and necessary condition for the existence of an  $[a, b]$ -factor in a graph  $G$  [6]: “ $G$  has an  $[a, b]$ -factor if and only if  $b|S| - a|T| + \sum_{v \in T} d_{G \setminus S}(v) \geq 0$ , for all disjoint subsets  $S, T$  of  $V(G)$ .”

The concept of connected factors was introduced by M. Kano [4]. This topic is close to the hamiltonian problem as a connected 2-factor is obviously an hamiltonian cycle. On the other hand, we remark that a connected  $k$ -factor is a connected  $k$ -regular spanning subgraph.

In [3], M. Kano gives the following conjecture:

“Let  $2 \leq a < b$  be integers and  $G$  be a connected graph with  $\delta(G) \geq a$  and  $n \geq a + b + 1$ . If  $\sigma_2(G) \geq \frac{2an}{a+b}$ , then  $G$  has a connected  $[a, b]$ -factor.”

Counterexamples to this conjecture have been given by Y. Li and M. Cai [5], proving that the bound  $a + b + 1$  is too low.

In this paper, we prove the conjecture under the stronger condition:

$$\delta(G) \geq \frac{n}{1 + \lfloor \frac{b}{a} \rfloor}.$$

## II. Existence of a connected $[a, b]$ -factor in a graph

### Case $a = 2$

M. Kano announced that he proved the following result [3], however the proof has never been published.

**Theorem 2.1.** *Let  $b \geq 3$  be an integer and let  $G$  be a connected graph of order  $n$  and minimum degree  $\delta \geq 2$ .*

*If  $\sigma_2(G) \geq \frac{4n}{b+2}$ , then  $G$  has a connected  $[2, b]$ -factor.*

This theorem is a corollary of one of our results [7] that we give below.

**Theorem A.** *Let  $G$  be a 2 edge-connected graph,  $b \geq 2$  be an integer.*

*Suppose  $\sigma_2(G) \geq \frac{4n}{b+2}$ , then  $G$  has a 2 edge connected  $[2, b]$ -factor.*

**Proof of the Theorem 2.1.** The proof is by induction on the number  $r$  of cutedges of  $G$ .

If  $r = 0$ , thus  $G$  is 2 edge-connected and we are done by Theorem A.

Suppose now  $r \geq 1$ . Let  $xy$  be a cut-edge between two components  $C_1$  and  $C_2$  and as  $\delta \geq 2$ , no one of these components is reduced to a single vertex. We contract the edge  $xy$  into a vertex  $z$ . We get a graph  $G_1$  with  $(r - 1)$

cut-edges. no degree has decreased, so the induction hypothesis is fulfilled, and  $G_1$  has a  $[2, b]$ -connected factor  $F_1$ .

The edges of  $F_1$ , joined to the edge  $xy$  induce a connected factor  $F$  in  $G$ .

We have  $2 \leq d_{F_1}(z) \leq b$ . Then, by the connectivity of  $F_1$ , we get  $d_F(x) \geq 2$ ,  $d_F(y) \geq 2$ , and  $d_F(x) + d_F(y) - 2 \leq b$  so  $d_F(x) + d_F(y) \leq b + 2$ . Thus  $F$  is a  $[2, b]$ -connected factor.  $\blacksquare$

### Case $b/a \in \mathbf{N}$

**Theorem 2.2.** *Let  $a$  and  $b$  be two integers such that  $2 \leq a < b$ ,  $a$  divides  $b$ .*

*Let  $G$  be a connected graph of order  $n$ , and minimum degree  $\delta$ .*

If (i)  $n \geq \frac{(a+b)(a+b-1)}{b}$  and (ii)  $\delta \geq \frac{an}{a+b}$ ,

*then  $G$  has a connected  $[a, b]$ -factor.*

Let us give first two remarks.

**Remark 1.** The bound on  $\delta$  is best possible. Let  $a$  and  $b$  be two integers, such that  $a$  divides  $b$ ,  $p \geq 1$  and  $q = \frac{b}{a}p + 1$ . Then  $p = \frac{a(q-1)}{b}$ . Consider the graph  $K_{p,q}$  and note that its minimum degree is equal to  $p < \frac{aq}{b} < \frac{an}{a+b}$ . The difference between  $\frac{an}{a+b}$  and  $p$  is tight:  $\frac{an}{a+b} - p = \frac{a}{a+b} < 1$ . The condition (i) is easily fulfilled. On the other hand, if  $e$  is the number of edges of a connected  $[a, b]$ -factor in the graph, it is clear that  $qa \leq e \leq pb$  holds, which contradicts the choice of  $p$  and  $q$ . So,  $K_{p,q}$  has no  $[a, b]$ -factor.

**Remark 2.** We now show that the bound on  $n$  in the theorem is best possible when the bound on  $\delta$  is fixed.

Suppose that  $a+b$  divides  $n$  and  $\frac{bn}{a+b}$  odd. Let  $G$  be a graph the vertex set of which consists of two parts,  $A$  of cardinality  $\frac{an}{a+b} - 1$  and  $B$  of cardinality  $\frac{bn}{a+b} + 1$ ; we join  $A$  and  $B$  by a complete bipartite graph and we add a perfect matching in  $B$ . Thus  $G$  has  $n$  vertices and  $\delta = \frac{an}{a+b}$ .

Suppose  $G$  contains an  $[a, b]$ -factor  $F$ . In  $F$ , each vertex of  $B$  sends at least  $a - 1$  edges towards  $A$ . On the other hand, there exist at most  $b|A|$  edges in  $F$  going out of  $A$ . Thus we obtain:

$(a-1)|B| \leq b|A|$ , so  $(a-1) \left( \frac{bn}{a+b} \right) \leq b \left( \frac{an}{a+b} - 1 \right)$ , which gives the condition  $n \geq \frac{(a+b)(a+b-1)}{b}$ .

**Proof of the theorem.** For  $a=2$ , the result is true by [Theorem 2.1](#). From now on, let  $a \geq 3$ .

Note that  $b \geq 2a \geq a+3$ , since  $b/a \in \mathbb{N}$ . We prove the theorem by induction on  $a$ .

Suppose that the result is true for the integer  $a-1$  and for any  $b \geq 2a-2$ . From (ii),  $\delta(G) \geq \left\lceil \frac{an}{a+b} \right\rceil = \left\lceil \frac{(a-1)n}{(a-1)+(b-b/a)} \right\rceil$ , where  $a-1$  divides  $b-b/a$ .

By the induction hypothesis, we obtain that  $G$  has a connected  $[a-1, b-b/a]$ -factor, say  $F_0$ . For each  $[a-1, b]$ -factor  $F$ , we set  $X_F = \{x \mid d_F(x) = a-1\}$ . We add edges to  $F_0$  in order to obtain a larger connected  $[a-1, b]$ -factor, say  $F_1$ , with the following constraints:

(1)  $X_{F_1}$  is of minimum cardinality among all the  $X_F$ , where  $F$  is any connected  $[a-1, b]$ -factor  $F$  such that  $E(F_0) \subset E(F)$ ;

(2) if the assertion (1) is satisfied, then  $|E(F_1)| - |E(F_0)|$  is minimum.

Let  $X$  (resp.  $Y$ ,  $Z$ ) denote the set of vertices whose degree in  $F_1$  is  $a-1$  (resp.  $b$ , between  $a$  and  $b-1$ ). Note that, by the minimality of  $|X|$ , there is no edge of  $G \setminus F_1$  between  $X$  and  $Z$ .

If  $X = \emptyset$ , then the result is proved. Otherwise, we choose a vertex  $x_1$  in  $X$ .

Inequalities (i) and (ii) imply

$$(*) \quad \delta \geq \left\lceil \frac{a(a+b-1)}{b} \right\rceil = a + \left\lceil \frac{a(a-1)}{b} \right\rceil \geq a+1$$

thus  $x_1$  has at least two incident edges not in  $F_1$  and we can suppose that the corresponding neighbors have degree  $b$  in  $F_1$  otherwise we can increase the degree of  $x_1$  by one.

Let  $Y_1$  be the subset of  $Y$  consisting of the neighbors of  $x_1$  in  $G \setminus F_1$ , and  $Y_2 = Y \setminus Y_1$ . Denote by  $Z_1$  the set of vertices in  $Z$  of degree  $a$  in  $F_1$  and  $Z_2 = Z \setminus Z_1$ .

To prove now the result, we need some claims.

For any factor  $F$  and  $u \in V(G)$ , denote by  $\Delta_F(u)$  the integer  $d_F(u) - (b-b/a)$  and  $\Delta_F = \sum_{\Delta_F(u) > 0} \Delta_F(u)$ . Note that  $(u \in Z_1 \cup X \text{ or } d_F(u) = a+1)$  implies  $\Delta_F(u) \leq 0$ .

**Claim 1.**  $|Z| \geq |Z_1| \geq \frac{b}{a}|Y|$ .

**Proof.** From  $F_0$  to  $F_1$ , the degrees of the vertices in  $Y$  increase by exactly  $b/a$ . By (2):

—there is no edge of  $F_1 \setminus F_0$  inside  $Y \cup Z_2$ .

—for each  $u \in Y \cup Z_2$ , at least  $\Delta_{F_1}(u)$  edges in  $F_1 \setminus F_0$ , incident to  $u$  have their other extremity in  $Z_1$ .

As the minimum degree in  $F_0$  is  $(a-1)$ , each vertex of  $Z_1$  is incident to at most one edge of  $F_1 \setminus F_0$ . So, in particular, we have

$$(**) \quad |Z_1| \geq \sum_{y \in Y} \Delta_{F_1}(y) \geq \frac{b}{a}|Y|. \quad \blacksquare$$

Let us define property  $\mathcal{P}$ :

For any connected  $[a-1, b]$ -factor  $F$ , we can define a partition of  $V(G)$  into  $X, Y, Z$  as in  $F_1$ .

We say that  $F$  has **property  $\mathcal{P}$**  if  $F$  satisfies the following two assertions:

$\alpha$ ) For each vertex  $u \in V(G)$ , we can define a set  $Z(u) \subset Z_1 \cap N_F(u)$  such that these sets satisfy:  $Z_F = \sum_{u \in V(G)} |Z(u)| \geq \Delta_F$ ;

$\beta$ ) If  $u \neq u'$  then  $Z(u) \cap Z(u') = \emptyset$ .

For the factor  $F_1$ , set  $Z(u) = N_{F_1 \setminus F_0}(u)$  if  $\Delta_{F_1}(u) > 0$  and  $Z(u) = \emptyset$  if  $\Delta_{F_1}(u) \leq 0$ . Then  $F_1$  satisfies property  $\mathcal{P}$  by the proof of [Claim 1](#).

We consider now a connected factor  $F$  satisfying  $\mathcal{P}$  and furthermore, among all the factors satisfying  $\mathcal{P}$ ,  $F$  is chosen such that:

- (1)  $|X|$  is minimum;
- (2) the assertion (1) being satisfied,  $|E(F)|$  is minimum.

Note that this factor exists, as  $F_1$  has property  $\mathcal{P}$ .

It follows from property  $\mathcal{P}$  for  $F$  that the inequalities  $(**)$  are still true and [Claim 1](#) is again satisfied; thus we have:

$$(***) \quad |Y| < \frac{an}{a+b}, \quad \text{otherwise} \quad |Y| + |Z| \geq n \quad \text{and} \quad X = \emptyset.$$

**Remark.** In all further constructions ([Claims 2 to 7](#)), the sets  $X, Y, Z$  are modified, and we **need to verify property  $\mathcal{P}$**  at each time so that [Claim 1](#) is satisfied and thus **inequality  $(***)$  is still true**.

Let  $x \in X$  and  $Y_1 = N_{G \setminus F}(x)$ . Note that  $Y_1 \subset Y$ , otherwise, if  $xu$  is an edge not in  $F$ , with  $u \in X \cup Z$ , we saturate  $x$ , we put  $x$  in  $Z(u)$  and  $\mathcal{P}$  is still satisfied.

We denote by  $\delta_0$  the bound  $\left\lceil \frac{an}{a+b} \right\rceil$ , and set  $|Y| = \delta_0 - s$  and  $|Y_1| = \delta_0 - s_1$ . Thus  $1 \leq s \leq s_1$ . As  $\delta_0 \leq d_G(x) = d_F(x) + d_{G \setminus F}(x) = a-1 + |Y_1|$ , we get  $s_1 \leq a-1$ . Furthermore, we recall that  $|Y_1| \geq 2$  as  $\delta \geq a+1$  (inequality  $(*)$ ).

**Claim 2.**  $Y_1$  is a stable set in  $F$ ,  $Y \cup Z_2$  is a forest in the factor  $F$  and there is no cycle in  $F$  with an edge inside  $Y_2$ .

**Proof.** If there exists an edge in  $F$  between 2 vertices of  $Y_1$ , say  $u$  and  $v$ , we delete this edge and add  $xu$  and  $xv$ , thus we get another connected factor

satisfying property  $\mathcal{P}$  with smaller  $|X|$ , a contradiction with the minimality of  $|X|$ .

If we have a cycle with an edge internal to  $Y \cup Z_2$ , we suppress this edge then we have a contradiction with the minimality of  $|E(F)|$ . ■

Denote by  $\epsilon_F(U, V)$  the number of edges in  $F$  adjacent to both two disjoint subsets  $U$  and  $V$ . Let  $\mathcal{F}_Y$  be the remaining of the forest in  $F$ , constructed on the vertices of  $Y$  after deletion of the trivial components contained in  $Y_1$ . Let  $|\mathcal{F}_Y|$  be its size,  $p$  be the number of its components and  $p_1 = |V(\mathcal{F}_Y) \cap Y_1|$ .

**Claim 3.**

- 1) Any component  $\gamma$  of the forest  $\mathcal{F}_Y$  has at most one vertex in  $Y_1$  and  $|\mathcal{F}_Y| = |Y_2| - p + p_1$ .
- 2) Let  $\{y_1\} = \gamma \cap Y_1$  (when  $y_1$  exists), then any path  $P_F(x, \gamma)$  in  $F$  reaches  $\gamma$  in  $y_1$ .
- 3)  $\epsilon_F(x, Y) + |\mathcal{F}_Y| \leq |Y_2|$ .

**Proof.** 1) If  $V(\gamma) \cap Y_1$  contains  $\{y_1, y_2\}$ , we may suppose that a path  $P_F(x, \gamma)$  reaches  $\gamma$  in  $u \neq y_1$ ; then we add  $xy_1$  to the factor  $F$  and we delete the edge  $y_1t$  contained in the path  $P_F[y_1, u]$  in the component  $\gamma$ . We add  $x$  to  $Z(y_1)$ , then  $Z(t)$ ,  $\Delta(t)$  and  $Z(x)$  do not change. The new connected factor has again the property  $\mathcal{P}$ . We have a contradiction with the minimality of  $|X|$ .

The equality is immediate.

2) If the assertion 2) is not true, then let  $u$  be the vertex where  $P_F(x, \gamma)$  reaches  $\gamma$ . As in the first part, in the component  $\gamma$ , we delete the edge incident to  $y_1$  in the path joining  $y_1$  to  $u$ ; then we add  $xy_1$ . We get a contradiction with the minimality of  $|X|$ .

3) The assertion 3) is equivalent to  $\epsilon_F(x, Y) \leq p - p_1$ . In the factor  $F$  the vertex  $x$  is not adjacent to two vertices of the same component  $\gamma$  of  $\mathcal{F}_Y$  (by the condition (2) of the definition of  $F$ ). We show, if  $\gamma \cap Y_1 \neq \emptyset$ , that the vertex  $x$  is not adjacent to  $\gamma$  if  $\gamma \cap Y_1 \neq \emptyset$ . Let us set  $\{y_1\} = \gamma \cap Y_1$ ; we know that  $xy_1 \notin E(F)$ . In fact, if  $x$  is adjacent to a vertex  $v \in \gamma$ , we add the edge  $xy_1$ , and remove the edge  $y_1u$ , which belongs to the path  $P_F[y_1, v]$  in  $\gamma$ . The property  $\mathcal{P}$  is still satisfied and we have a contradiction with the minimality of  $|X|$ . ■

**Claim 4.** Let  $z \in Z_2$ . Then  $\epsilon_F(z, Y_1) \leq 1$ .

**Proof.** Suppose that  $\epsilon_F(z, Y_1) \geq 2$ . Let  $u$  and  $v$  be the two neighbors of  $z$  in  $F$  belonging to  $Y_1$ . Then, in  $F$ , there exists a path between  $x$  and  $z$ ,

avoiding for example  $zu$ . We remove  $zu$ , and add  $xu$  to  $F$ . Since only  $\Delta(z)$  decreases,  $Z(u)$ ,  $\Delta(u)$  and  $Z(z)$  remain the same. We have a contradiction with the minimality of  $|X|$ . ■

**Claim 5.** *In the factor  $F$ , let  $\mathcal{C}_1$  be the subgraph generated by the components of  $\mathcal{F}_Y$  intersecting  $Y_1$ .*

- 1) *Let  $z \in Z_1$ . Then  $\epsilon_F(z, \mathcal{C}_1 \cap Y_2) \leq 1$ .*
- 2) *Let  $z \in Z_2$ . Then  $\epsilon_F(z, \mathcal{C}_1 \cup Y_1) \leq 1$  and  $|\mathcal{F}_Y| + \epsilon_F(z, Y) \leq |Y_2| + 1$ .*

**Proof.** Note that any vertex  $z$  of  $Z$  is not adjacent to two different vertices of the same component of the forest (by Claim 3).

◦ Suppose that  $z \in Z$  is adjacent to  $u$  in a component  $\gamma$ , and to  $u'$  in a second component  $\gamma'$  of  $\mathcal{C}_1$  with  $u, u' \in Y_2$ . In  $F$ , consider a path from  $x$  to  $A = \gamma \cup \gamma' \cup \{z\}$  and we may assume, w.l.o.g. that this path reaches  $A$  in  $z$  or  $\gamma'$ . Let  $\{y_1\} = \gamma \cap Y_1$  and  $\{y'_1\} = \gamma' \cap Y_1$ . Then we delete the edge  $y_1 t$  in  $P_\gamma(y_1, u)$  from the factor  $F$  and we add the edge  $xy_1$ . The factor we get is still connected and satisfies  $\mathcal{P}$  as  $|Z(y_1)| + |Z(t)|$  increases and  $\Delta(y_1) + \Delta(t)$  decreases and we have a contradiction with the minimality of  $|X|$ .

Thus we proved that for any  $z \in Z$ ,  $\epsilon_F(z, \mathcal{C}_1 \cap Y_2) \leq 1$ . In particular, the inequality is satisfied by any  $z \in Z_1$ .

◦ Suppose there exists  $z \in Z_2$  such that  $\epsilon_F(z, \mathcal{C}_1 \cup Y_1) \geq 2$ . By part 1), two neighbors of  $z$  are not both in  $Y_2$ . By Claim 4,  $\epsilon_F(z, Y_1) \leq 1$ . So, in  $F$ ,  $z$  has at least a neighbor  $u'$  in  $\mathcal{C}_1 \cap Y_2$  and another one,  $u$ , in  $Y_1$ . Thus  $u' \in \gamma' \cap Y_2$  where  $\gamma'$  is a component of  $\mathcal{C}_1$ .

In both cases,  $u \in \mathcal{C}_1 \cap Y_1$  or otherwise, we set  $A = \gamma' \cup \{u\} \cup \{z\}$  and  $y'_1 = \gamma' \cap Y_1$ .

If a path  $P_F(x, A)$  reaches  $A$  in  $z$  or  $u$ , we delete the edge  $y'_1 t$  in the path  $P_F[y'_1, u']$  contained in  $\gamma'$  and we add  $xy'_1$ .

If  $P_F(x, A)$  reaches  $A$  in  $y'_1$ , we delete the edge  $zu$  and we add  $xu$ .

In any case we saturate  $x$  and we verify that  $\Delta_F$  remains the same or decreases by one and  $Z_F$  does not decrease. So, the new connected factor satisfies  $\mathcal{P}$ , and we have a contradiction with the minimality of  $|X|$ .

Then, we immediately get, for  $z \in Z_2$

$$|\mathcal{F}_Y| + \epsilon_F(z, Y) \leq (|Y_2| - p + p_1) + (p - p_1 + 1) = |Y_2| + 1. \quad \blacksquare$$

**Claim 6.**

- 1) *For any  $x' \in X$ , (1)  $\epsilon_F(x', Y) \leq (a - s - 1)$ .*
- 2)  *$\epsilon_{G \setminus F}(z, G \setminus Y) = 0$  for any  $z \in Z_1$  such that  $\epsilon_F(z, Y_1) \geq 2$ .*

(2)  $\epsilon_F(z_1, Y) \leq a - s$  for any  $z_1 \in Z_1$ .

**Proof.** 1) For each  $x' \in X$ ,  $d_F(x') = a - 1$ ,  $|Y| = \delta_0 - s$ . All edges  $x't$  of  $G$  with  $t \notin Y$  are edges of  $F$ ; otherwise we add an edge  $x't$ , with  $t \notin Y$ , we put  $x'$  in  $Z(t)$  and then obtain a new factor  $F'$ .

- if  $t \in Z_1$  and  $t \in Z(u)$  for some  $u$ ,  $\Delta_{F'} = \Delta_F$  and  $|Z(u)| + |Z(t)|$  invariant;
- if  $t \notin Z_1$ ,  $\Delta_F$  increases by at most 1, and  $|Z(t)|$  increases by 1. Thus the new connected factor  $F'$  satisfies property  $\mathcal{P}$  and we get a contradiction with the minimality of  $|X|$ . So,  $\delta_0 \leq d(x') = d_Y(x') + d_{G \setminus Y}(x') \leq \delta_0 - s + d_{G \setminus Y}(x')$  thus

$$(1) \quad d_{G \setminus Y}(x') \geq s \quad \text{and} \quad \epsilon_F(x', Y) \leq a - 1 - s.$$

2)-a) Let  $u_1$  and  $u_2$  be two neighbors of  $z$  in  $Y_1$ , in the factor  $F$ . Suppose  $zz'$ , with  $z' \notin Y$ , is an edge of  $G \setminus F$ . A path  $P_F(x, z)$  does not use for example  $zu_1$ . We delete  $zu_1$  from the factor  $F$ , add  $xu_1$  and  $zz'$  and put  $x$  into  $Z(u_1)$ , then we obtain a new connected factor  $F'$ . We have two cases:

- If  $z'$  was in  $Z_2$ ,  $\Delta(z')$  increases by one,  $\Delta_F$  by at most 1, and  $|Z(u_1)|$  increases by 1.
- If  $z'$  was in  $Z_1$ , it is now in  $Z_2$  with  $\Delta_{F'}(z') \leq 0$  and then  $\Delta_{F'} = \Delta_F$ . If  $z$  was in  $Z(u_1)$ , we put it in  $Z(u_2)$ , and  $Z_{F'} = Z_F$ .

If  $z'$  was in  $Z(v)$  for some  $v$ ,  $Z_F$  remains the same or increases by 1.

In any case,  $\mathcal{P}$  is still satisfied by  $F'$  and we obtain a contradiction with the minimality of  $|X|$ .

-b) Let  $z_1 \in Z_1$ . Suppose  $\epsilon_F(z_1, Y) \geq a - (s - 1) \geq s_1 - s + 2 = |Y_2| + 2$ . Then  $z_1$  has at least two neighbors in  $Y_1$  in the factor  $F$ . As  $|Y| = \delta_0 - s$ , we have  $\epsilon_G(z_1, G \setminus Y) \geq s$ . As  $d_F(z_1) = a$ , we have  $\epsilon_F(z_1, G \setminus Y) \leq s - 1$ . Thus there is at least one edge of  $G \setminus F$ , say  $zz'$  with  $z' \notin Y$ , a contradiction with the first part of 2). So we have inequality (2). ■

### Claim 7.

1) Let  $z \in Z_1$  such that  $\epsilon_F(z, Y_1) \geq 2$ . Then  $\epsilon_G(z, \mathcal{F}_Y) \leq p$ .

2) If  $z \in Z_1$  and  $\epsilon_F(z, Y_1) \neq 1$ , then  $|\mathcal{F}_Y| + \epsilon_F(z, Y) \leq |Y_2| + a - s_1$ .

**Proof.** 1) The proof is by contradiction so  $z$  has at least two neighbors in the same component  $\gamma$  of  $\mathcal{F}_Y$ . Let  $v$  be the vertex of the component  $\gamma$  where a path  $P_F(z, \gamma)$  reaches  $\gamma$ . If  $z$  is adjacent in  $G$  to a vertex  $u \in \gamma$ ,  $u \neq v$ , then  $zu$  is in  $G \setminus F$  by Claim 2. Let  $y_1$  and  $y'_1$  be two vertices of  $Y_1$  which are neighbors of  $z$  in the factor  $F$ .

Case 1.  $z \notin P_F(x, \gamma)$ .



We may suppose that  $P_F(z, \gamma)$  does not use  $zy_1$ . We delete from the factor  $F$  the edge  $zy_1$ , and we add  $xy_1$ . On the other hand, we add  $zu$  and delete the edge  $uu'$  of the path joining  $u$  and  $v$  in the component  $\gamma$ . The factor  $F$  remains connected. We put  $x$  in  $Z(y_1)$  and we can suppose that  $z$  is now in  $Z(y'_1)$ .

*Case 2.*  $z \in P_F(x, \gamma)$ .

We may suppose that  $zy_1$  is not in  $P_F(x, \gamma)$  or that this edge is not in the segment  $[x, z]$  of the path. We do the same constructions as in the previous case.

In any case, it is easy to verify that  $Z_F$  increases by one and that  $\Delta_F$  decreases by one. We have a contradiction with the minimality of  $|X|$ .

2) If  $\epsilon_F(z, Y_1) = 0$ , the inequality is trivial.

Suppose now  $\epsilon_F(z, Y_1) \geq 2$ .

We have  $\epsilon_F(z, Y) = \epsilon_F(z, Y \setminus \mathcal{F}_Y) + \epsilon_F(z, \mathcal{F}_Y)$  and  $|V(Y \setminus \mathcal{F}_Y)| = \delta_0 - (s_1 + p_1)$  thus, in  $G$ ,  $z$  has at least  $(s_1 + p_1)$  neighbors out of the set  $Y' = Y \setminus \mathcal{F}_Y$ . So

$$\epsilon_F(z, G \setminus Y') \geq (s_1 + p_1) - \epsilon_{G \setminus F}(z, G \setminus Y')$$

and then,

$$\epsilon_F(z, Y') = a - \epsilon_F(z, G \setminus Y') \leq a - (s_1 + p_1) + \epsilon_{G \setminus F}(z, G \setminus Y').$$

We obtain:

$$\epsilon_F(z, Y) = \epsilon_F(z, Y') + \epsilon_F(z, \mathcal{F}_Y) \leq a - (s_1 + p_1) + \epsilon_{G \setminus F}(z, G \setminus Y') + \epsilon_F(z, \mathcal{F}_Y).$$

But  $\epsilon_{G \setminus F}(z, G \setminus Y') = \epsilon_{G \setminus F}(z, \mathcal{F}_Y)$  as  $\epsilon_{G \setminus F}(z, G \setminus Y) = 0$  by [Claim 6 2\)](#).

We get immediately, using part 1) of the Claim:

$$\epsilon_F(z, Y) \leq (a - (s_1 + p_1)) + \epsilon_G(z, \mathcal{F}_Y) \leq (a - s_1) + (p - p_1).$$

So

$$\epsilon_F(z, Y) + |\mathcal{F}_Y| \leq |Y_2| + a - s_1. \quad \blacksquare$$

End of the proof of the theorem.

We have the equalities:

$$b|Y| = \sum_{y \in Y} d_F(y) = \epsilon_F(Y, X) + \epsilon_F(Y, Z) + 2|\mathcal{F}_Y|.$$

By [Claim 6](#), we have  $\epsilon_F(Y, X) \leq (a - s - 1)|X|$  and  $\epsilon_F(Z_1, Y) \leq (a - s)|Z_1|$  and by [Claim 3](#),

$$(3) \quad \epsilon_F(x, Y) + |\mathcal{F}_Y| \leq |Y_2|.$$

◦ Suppose first that  $|Z_2| \geq 1$ . Let  $z$  be some vertex in  $Z_2$ .

$$(4) \quad \epsilon_F(z, Y) + |\mathcal{F}_Y| \leq |Y_2| + 1 \leq |Y_2| + (a - s_1).$$

Thus,

$$\begin{aligned} b|Y| &\leq (a - s - 1)(|X| - 1) + \epsilon_F(x, Y) + (s_1 - s + 1)(|Z_2| - 1) \\ &\quad + \epsilon_F(z, Y) + (a - s)|Z_1| + 2|\mathcal{F}_Y|. \end{aligned}$$

Using inequalities (3) and (4), we get:

$$\begin{aligned} b|Y| &\leq (a - s)(|X| + |Z_1|) - |X| - (a - s - 1) \\ &\quad + (s_1 - s + 1)|Z_2| - (s_1 - s + 1) + 2|Y_2| + 1. \end{aligned}$$

Thus  $b|Y| \leq (a - s)(n - |Y| - |Z_2|) - |X| + (s_1 - s + 1)|Z_2| + (s_1 - a + 1)$ , which leads to:

$$(b + a - s)(\delta_0 - s) \leq (a - s)n - (1 + |Z_2|)(a - s_1 - 1) - |X|$$

i.e.

$$\frac{bsn}{a + b} \leq s(a + b - s) - (1 + |Z_2|)(a - s_1 - 1) - |X|$$

and then

$$n \leq \frac{(a + b - s)(a + b)}{b} \left( 1 - \frac{(1 + |Z_2|)(a - s_1 - 1) + |X|}{s(a + b - s)} \right).$$

If  $|X| \neq \emptyset$ , we obtain  $n < \frac{(a+b-1)(a+b)}{b}$ , a contradiction with the hypothesis (i) of the theorem.

◦ Suppose now that  $|Z_2| = 0$ .

By [Claim 1](#),  $Z_1$  is not empty. If there exists a vertex  $z$  in  $Z_1$  such that  $\epsilon_F(z, Y_1) \neq 1$ , we apply 2) of [Claim 7](#) and we have the same calculations as in the previous case.

Otherwise,  $\epsilon_F(z, Y_1) = 1$  holds for each  $z \in Z_1$ , and  $\epsilon_F(z, Y) \leq (p - p_1) + 2$  by part 2) of [Claim 5](#).

Thus

$$(5) \quad \epsilon_F(z, Y) + |\mathcal{F}_Y| \leq |Y_2| + 2$$

holds.

Then we obtain:

$$b|Y| \leq (a-s)(|X| + |Z_1|) - (|X| + 1) - 2(a-s) + \epsilon_F(z, Y) + \epsilon_F(x, Y) + 2|\mathcal{F}_Y|.$$

Applying inequalities (3) and (5), we get

$$b|Y| \leq (a-s)(n - |Y|) - (|X| - 1) - 2(a-s) + 2(|Y_2| + 1).$$

Thus  $n \leq \frac{(a+b-s)(a+b)}{b} \left( 1 - \frac{2(a-s) + (|X|-1) - 2(s_1-s+1)}{s(a+b-s)} \right)$  which can be written as

$$n \leq \frac{(a+b-s)(a+b)}{b} \left( 1 - \frac{2a - 2s_1 + (|X| - 1) - 2}{s(a+b-s)} \right),$$

and the conclusion is the same as previously, except eventually in the case when  $|X|=1$ ,  $s_1=a-1$ ,  $s=1$ .

We study this last case:

For any  $z \in Z_1$ ,  $\epsilon_F(z, Y_1)=1$ ,  $|Y|=\delta_0-1$  and  $|Z_1|=n-\delta_0$ . So:

$$\begin{aligned} \epsilon_F(Y_1, Z_1) &= |Z_1| = n - \delta_0 \leq n - \frac{an}{a+b} = \frac{bn}{a+b} \\ \epsilon_F(Y_1, Y_2) &\leq |Y_2| - p + p_1 = a - 2 - p + p_1 \leq a - 2 \\ \epsilon_F(x, Y_1) &= 0. \end{aligned}$$

Thus  $\epsilon_F(Y_1, G \setminus Y_1) = b|Y_1| \leq \frac{bn}{a+b} + a - 2$  which gives

$$b \left( \frac{an}{a+b} - 1 \right) \leq b(\delta_0 - 1) \leq \frac{bn}{a+b} + a - 2$$

i.e.

$$n \left( \frac{ab}{a+b} - \frac{b}{a+b} \right) \leq a + b - 2,$$

and finally

$$n \leq \frac{(a+b-2)(a+b)}{b(a-1)},$$

a contradiction with the hypothesis (i) on  $n$ . ■

Case  $b/a \notin \mathbf{N}$ 

**Theorem 2.3.** *Let  $a$  and  $b$  two integers such that  $4 \leq 2a < b$ .*

*Let  $G$  be a connected graph of order  $n$ , and minimum degree  $\delta$ .*

*If (i)  $n \geq \frac{(a+b)(a+b-1)}{b}$  and (ii)  $\delta \geq \frac{n}{1 + \lfloor \frac{b}{a} \rfloor}$ ,*

*then  $G$  has a connected  $[a, b]$ -factor.*

**Proof.** Let  $b_1 = a \left\lfloor \frac{b}{a} \right\rfloor$ , then  $2a \leq b_1 < b$  and  $a$  divides  $b_1$ . On the other hand,  $\delta \geq \frac{an}{a+b_1}$  and  $n \geq \frac{(a+b)(a+b-1)}{b} \geq \frac{(a+b_1)(a+b_1-1)}{b_1}$ .

The previous theorem allows us to say that  $G$  has a connected  $[a, b_1]$ -factor that is also a connected  $[a, b]$ -factor. ■

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